



NAVAL POSTGRADUATE SCHOOL Monterey, California





THESIS

A COMPUTER SIMULATION OF ORGANIZATIONAL DECISION-MAKING

by

Vincent John Andrews December 1979

Thesis Advisor:

C.K. Eoyang

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A Computer Simulation of Organizational Decision-Making

by

Vincent John Andrews
Lieutenant, United States Navy
B.S., Florida Institute of Technology, 1974

Submitted in partial fulfillment of the requirements for the degree of

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Author _	Umant John andrews
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_	Phillip Meal Burley
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_	Chairman, Department of Administrative Science
	A Schrady
_	Dean of Information and Policy Sciences

ABSTRACT

A computer simulation of organizational decision-making is developed based on the Complete Cycle of Choice. The interrelationships between attitudes, individual behaviors, organizational choices, and the environment are represented in terms of matrices and mathematical equations. Statistical analysis of the data generated by the simulation program is performed to discern significant variables and decision-making patterns. Refinements to the basic model are proposed to increase its usefulness as a managerial tool.

TABLE OF CONTENTS

I.	INT	RODU	CTION	8
II. MIC		ROMO'	TIVES AND MACROBEHAVIOR	10
	A.	CRI	TICAL MASS BEHAVIOR	10
	в.	SUB	CLASSES OF CRITICAL MASS BEHAVIOR	17
		1.	Tipping	17
		2.	Congestion	19
		3.	Commons	21
	c.	THE	NEED FOR UNDERSTANDING	22
III.	THE	COMI	PLETE CYCLE OF CHOICE	23
	A.	THE	BASIC CYCLE	23
	в.	DEC	ISION-MAKING IN ORGANIZED ANARCHIES	25
		1.	Individual Attitudes and Individual Behavior	25
		2.	Individual Action and Organizational Choice	26
		3.	Organizational Choice and Environmental Response	27
		4.	Environmental Response and Individual Beliefs	28
	c.	DEC	SION-MAKING IN A RATIONAL SITUATION -	28
	D.	CYCI	LE OF CHOICE AS COMPUTER PROGRAM	30
		1.	Initial Conditions	31
		2.	Linkage 1: Individual Attitude Formation	33
		3.	Linkage 2: Individual Behavior Determination	35
		4.	Linkage 3: Determination of Organizational Outcome	36

		5. Linkage 4: Environmental Response to Organizational Decision 3
IV.	ANAL	YSIS OF SIMULATION DATA 4
	-	INITIAL CONDITIONS AND SUMMARY STATISTICS 4:
	в.	FREQUENCY DISTRIBUTIONS OF THE DATA 4
	c.	REGRESSION ANALYSIS OF THE DATA 4
		1. Number of Republican Organizational Outcomes 4
		2. Number of Democratic Organizational Outcomes 4
v.	CONC	CLUSION 4
APPENDIX	α:	SIMULATION PROGRAM 5
APPENDIX	(B:	SAMPLE OF INTERNAL PROCESSES WITHIN SIMULATION MODEL 5
APPENDIX	K C:	FREQUENCY ANALYSIS OF SIMULATION DATA - 6
APPENDI)	C D:	REGRESSION ANALYSIS OF SIMULATION DATA 7
BIBLIOGE	RAPHY	8
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I. INTRODUCTION

The prospects for obtaining the desired levels of personnel to adequately man our armed services look very bleak in the 1980's. That is, of course, based on projections of current policies of recruitment, attrition, and allocation of personnel. Currently more than 80% of enlisted recruits leave before completing five years of service (Defense Manpower Report, 1976).

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Since the military services have virtually no provisions to directly fill billets at intermediate or higher levels with personnel from the general population they must rely on a flow of people who have "come through the ranks." It is for this reason that the currently low levels of enlisted recruitment and high levels of attrition are matters of great concern.

In order to attack this problem military personnel management strategies have emphasized training, turnover rates, recruiting and other macro-level variables. By manipulation of these variables DoD hopes to influence individuals to react in ways to alleviate the manpower problem.

Recent work by Thomas Schelling (Schelling, 1978)
discusses at great length the inherent difficulties of
predicting individual behaviors on the basis of aggregate
or macro-level factors and vice versa. Since it appears

that DoD may be trying to do just that when it formulates its manpower strategies, a closer look at some of the many possible interrelationships between the attitudes and intentions of individual persons and the social aggregate they comprise seems very appropriate.

This study will begin with a review of Schelling's work, particularly his models of the ways that expectations can influence behavior, and the relationships between people and "their" environment.

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The ultimate goal of this thesis is to develop a computer model which will mathematically simulate the interplay of individual level variables such as attitude or individual actions with more macro-level variables such as organizational behavior or environmental outcome.

Through various regression and analytical techniques it is hoped that the more influential variables will be discerned. Although primarily theoretical in nature, this process may reveal some key relationships or driving forces which are of use to manpower strategists, as well as decision theorists and scholars of complex organizations.

II. MICROMOTIVES AND MACROBEHAVIOR

The title of this section is taken from Thomas C. Schelling's 1978 book in which he discusses several models of social interaction and collective behavior. He contends that efforts to predict aggregate behavior on the basis of individual intentions or vice versa are highly prone to error. The interrelationships between these factors are often more complex than one being a simple summation of the other. The next few pages are a review of some of Schelling's models.

A. CRITICAL MASS BEHAVIOR

This model is based on a term used in nuclear physics, For our purposes critical mass behavior deals with a situation or activity that is self-sustaining once enough people are engaged in it.

In order to provide a better understanding of the concept we consider the following illustration.

Assume a local recruiter decides to hold a series of weekly meetings for high school students where questions about military careers can be discussed, where training on basic military skills is provided, and hopefully a desire to become part of the military service is developed. Attendance at these meetings is completely optional and the students are free to attend as many or as few meetings as they care to.

Many different factors go into the decision each student makes whether or not to attend a particular weekly meeting. We are interested in those students whose decision to attend is influenced by what they think their schoolmates will do.

One particular student, for example, may attend a meeting if he thinks at least 30% of his fellow students will be there. Another may attend only if he is sure at least 80% of his schoolmates will be attending. Another student may attend even if he thinks no one else will be there. In other words, for everybody whose attendance depends on the attendance he anticipates there is some critical number or proportion which will just convince him to go to the meeting.

By tabulating the number of students who feel a particular value of expected attendance is "just enough" to make them go to the meeting we can determine a frequency distribution.

In cumulative form a frequency distribution curve describes for any number of anticipated attendance, the number of people for whom that number is large enough. At 40 it registers all the people whose critical numbers are no larger than 40. At zero it indicates the number who would attend even if no one else did. At 100 the diagram records everyone except those who never attend.

Figure 2-1 is a cumulative frequency curve of students whose attendance at a meeting depends on the anticipated level of attendance. Assuming a population of 100 students it can be seen from the diagram that there are 2 individuals who will attend the meeting even if no one else is expected to show up. If everyone is expected about 90 will actually attend.

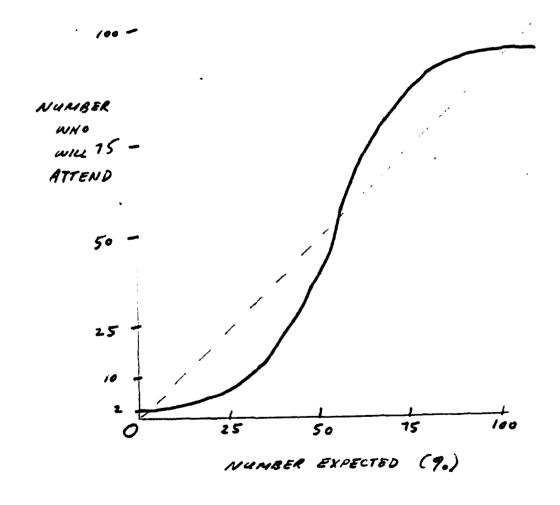


Figure 2-1

Suppose the students each expect 25 or 30 persons to show up for the first meeting. With that expectation about 10 individuals will actually be there. Many of them will be disappointed with only 3 or 4 of them wanting to attend a meeting of 10 people. At the next meeting we should expect a smaller turnout of maybe 3 or 4 people. At this small meeting there will still be some disappointed, and after several more meetings only the 2 diehards will still be attending.

Suppose, that at the first meeting, instead of 25 or 30 persons expected, we have 65 expected. From the diagram we see that nearly 75 will actually show up, and none of them will be disappointed with the attendance. In fact, there are others who would have been present at this meeting had these 75 been expected. Next week if 75 are expected, over 85 will show, and by the following week all will be present except for those who never attend.

Notice that in the first part of our example we had 25 or 30 people expected. Notice also that at this value the distribution curve is below the dashed 45-degree reference line sketched in Figure 2-1. In the second part of our example we had an initial expectation of 65 people. At this value the curve is above the 45-degree reference line. Further examination will reveal that any expected attendances where the curve is below the reference line result in actual attendances that diminish successively to the next lower point where those attending are not

disappointed (in this case, where actual attendance is 2). Any expected attendances above the 45-degree line result in actual attendances that increase successively towards the next higher equilibrium point (in this case, actual attendance of 90).

Shifting our attention to Figure 2-2 we see what Schelling calls the "case of the dying seminar" (Schelling, p. 105). Critical mass cannot be achieved. In this situation the number of individuals who show up at a meeting is always less than the number expected. Everyone who attends is disappointed and successively they drop out until no one is left.

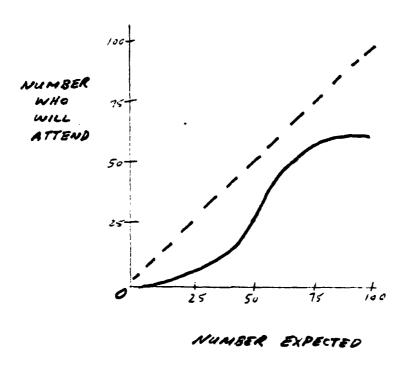


Figure 2-2

Schelling points out that for some behaviors, like language or fashion, it is proportions that influence people, not absolute numbers, while for other behaviors it will be absolute numbers that attract or repel (Schelling, p. 109).

In our preceding discussion we dealt with more than a small, intimate group of students. If we assume that these individuals are more affected by the proportion of their group that is going to the meeting there may be some hope for the recruiter faced with an overall frequency distribution as in Figure 2-2. The hope lies in the possibility of dividing or separating populations. Schelling contends that if people are influenced by local populations — the people they work with, eat with, go to school with, play with, or ride the bus with, any local concentration of the people most likely to display a certain behavior (i.e., go to the meeting) will enhance the likelihood that, at least in that locality, the activity will reach critical mass (Schelling, p. 109).

If the recruiter we described earlier finds himself faced with a population whose behavior is reflected in Figure 2-2 there is an opportunity to avoid a "dying seminar" if he divides his population into more susceptible and less susceptible halves.

To illustrate the usefulness of this idea refer back to Figure 2-2. If the top half of the diagram is cut off; that is, sliced horizontally at 50 on the vertical axis, the lower half remaining describes the population half most easily induced to attend. Disregard the top half of the diagram. Those are the 50 people with the highest critical values.

Looking only at those people from the lower half of the diagram a cumulative frequency distribution for this group by itself can be developed.

Since there are only 1/2 the number of people as in our original population it is necessary to recalibrate the vertical axis to read 100% where it used to read 50.

Because the vertical scale has been compressed the 45-degree reference line is replaced by a line with a slope of 1/2.

Figure 2-3 shows the outcome.

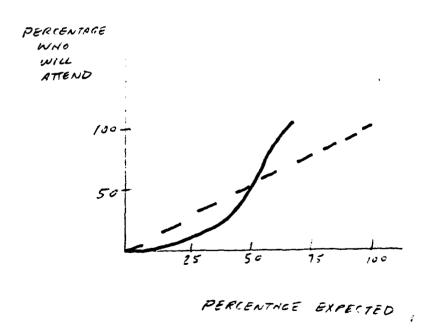


Figure 2-3

As can be seen the curve now has values above the reference line. Since this is the line where the proportion expected exactly equals the proportion attending, any points on the curve above the line are points where critical mass is sustainable. As stated earlier, if it is proportions that matter and not absolute numbers we have created a situation in which full attendance by half the students is now possible. By separating away half the population, in this case those least likely to attend, the influence of everybody who attends is doubled. We have, in effect, doubled the persentage that he or she represents.

Looking at the students in the illustration the recruiter may find that when taken in total as a group of students in grades 9-12 they exhibit the unfavorable behavioral characteristics of Figure 2-2. If he is able to separate them into local populations, for example by grade level, or ethnic group, he may find that certain groups display more favorable characteristics. He may find for example that freshman and sophomores comprise the bulk of the group that is least likely to attend the meetings. By restricting the meetings to only juniors and seniors he may be able to sustain good attendance by this subgrouping of students.

B. SUBCLASSES OF CRITICAL MASS BEHAVIOR

1. Tipping

Tipping is a special case of critical-mass phenomena. It involves people being someplace rather than

doing something. According to Schelling the term was first applied to neighborhood migration (Schelling, p. 101). It was observed that the entrance of a few members of a minority into a neighborhood often caused some among the previously homogeneous group to leave. Their departure made space available for more members of the minority to move in. The increase in new residents of this particular race or ethnic background induced more of the old residents to leave, and so on. Motivation for the older residents to depart could be based on the number of minority entrants who had already arrived, or based on some fear that the process would continue forcing them to sell their homes at low prices in the future.

In addition to the process of "tipping out" just described there is the process of "tipping in." As the number of new minority residents grows, the neighborhood becomes more attractive to those of the minority race inducing more of them to move in.

Instead of critical numbers this model uses the term "tolerances." Assuming there is a particular area or organization (e.g. U.S. military) to be in, each person, black or white, has his own tolerance. He will want to be in the organization unless the percentage of members of opposite color exceeds some limit. If a person's limit (tolerance) is exceeded he will go some place else where his color predominates or where his color does not matter. People move out of the organization if the ratio is not

within their limit; people outside move in if they see it meets their demands.

If we look at a particular organization (e.g. U.S. Army) we find a certain color ratio exists. Applying the model we find that of two members of the same color the one with the lesser tolerance will leave first as the size of the minority increases. We find that members of a particular color in the organization have higher tolerances than any persons of the same color outside the organization.

Schelling discusses the feasilibity of restricting the number of people of majority race allowed to enter. Doing so will often produce a stable equilibrium in otherwise unstable conditions. When there are two or more potentially stable situations where everyone's tolerance level can be satisfied it appears that initial occupancies and rates of movement determine which situation will result (Schelling, p. 164).

The interested reader will find a more detailed analysis of this concept in Schelling's "Dynamic Models of Segregation" (1971).

2. Congestion

Congestion is the case where as the number of people expected to do something increases the desirability of joining in becomes less attractive. An example might be the attendance at an ice skating rink. If the expected

attendance is low many people will go because they like the idea of lots of room to skate. If the expectation is that the place will be crowded, few people will go because it's no fun to be out on a crowded rink with no room to move. Figure 2-4 illustrates this idea.

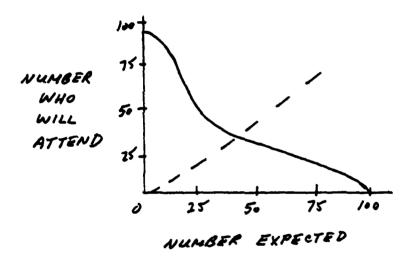


Figure 2-4

3. Commons

This model is closely related to congestion.

According to Schelling, "the commons" has come to serve as a paradigm for situations in which people so impinge on each other in pursuing their own interests that collectively they might be better off if they could be restrained, but no one gains individually by self-restraint" (Schelling, p. 111).

The idea originally came from the situation in New England where a common pasture was not only common property of the villagers but also available to their animals for grazing. There were no restrictions on the use of the land, so as long as there was any profit in grazing one's animal on the common, villagers were motivated to do so.

Three levels of usage are possible:

- (1) Good Economy: more would be lost than gained by restricting use.
- (2) Next level is where every user still benefits but aggregate benefits are reduced by excessive use.
- (3) Third level is when there is so much usage as to extinguish the value of the common.

Some applications of the situation above are the common pools of natural resources such as petroleum where the tendency is to overexploit now for quick profits.

In many government organizations there are people exercising tenure, thus preventing the upward movement of

more qualified replacements. They stay because they would have to give up their seniority if they moved into a new organization.

C. THE NEED FOR UNDERSTANDING

Hopefully the preceding discussion has made the reader more appreciative of the many possible relationships between individual attitudes, expectations, environmental situation, and aggregate behaviors. Even in cases where population characteristics are known and individual motivations are given the situational outcomes are not always straight-forward or intuitively obvious.

The main intention of this discussion has been to illustrate the potential complexity of relationships between individual attitudes and aggregate behavior, thereby demonstrating the relevance of studying models of social interaction and collective behavior.

The next section of this thesis starts out with a simple model of organizational decision-making and explores the relationships between the variables in much greater depth. The relationships turn out to be much more complex and surprising than would be expected given the simplicity of the basic model.

Since a variety of Navy manpower concerns involve the same variables and similar decision-making situations as those presented in the models it is important that the properties and implications of the models be understood by those in a position to apply them.

III. THE COMPLETE CYCLE OF CHOICE

A. THE BASIC CYCLE

There is a familiar model of organizational learning referred to by March and Olsen as the Complete Cycle of Choice (1975). The cycle starts with an individual person's assessment or attitude about some portion of the environment. Based at least partly on this attitude, and on an assessment of the appropriateness or effectiveness of a particular course of action an individual exhibits some behavior. Individual behaviors are then aggregated into a collective action which is labelled the organizational outcome. This organizational outcome elicits a "response" from the environment. The environment is then reassessed by the individual and the cycle repeats itself. These basic ideas are illustrated in Figure 3-1.

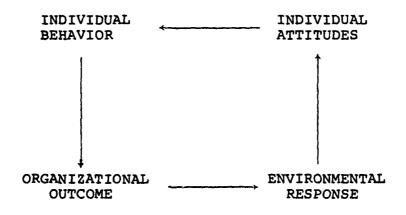


Figure 3-1. COMPLETE CYCLE OF CHOICE

The discussion of critical mass behavior presented earlier is an illustration of the Complete Cycle of Choice. Based on the cues he receives from "his" environment an individual develops expectations about the number of people that will be present at a particular place at a given time. Depending on his own attitudes about the desirability of attending a meeting with the expected number of people he will either attend the meeting or he will choose some alternative course of action. The number of individuals who actually show up at the meeting can be considered the organizational outcome. The organizational outcome leads to an environmental "response" (i.e. a consensus concerning the level of meeting attendance) which is then interpreted by each of the individuals. Based on these interpretations new expectations are formed about the next meeting and the cycle repeats itself.

Although they may be simplistic the ideas incorporated in the cycle provide a useful starting point for theories of organizational behavior. It must be conceded that under certain conditions the model has limitations which make it non-representative of the flow of events. In particular these are occasions where participation in the learning process is fluid, where the organizational processes are not understood, and where goals or attitudes are retroactively applied to actions that have already taken place. Situations exhibiting these characteristics are sometimes referred to as "organized anarchies" (Cohen and March, 1974).

B. DECISION-MAKING IN ORGANIZED ANARCHIES

Although this thesis is primarily concerned with applications of the Complete Cycle of Choice in rational situations where participation, processes, and outcomes are clearly defined and well understood it seems appropriate to point out some limitations in the model. The model is not designed to be applied to ambiguous, irrational decision-making situations.

Since later sections of this thesis explicitly define situations where the model is most appropriate, this section will describe situations where the Complete Cycle of Choice has substantial limitations. The ability to recognize the occasions where the model is inappropriate is necessary to avoid misapplications.

1. Individual Attitudes and Individual Behavior

Referring to Figure 3-1 it can be seen that the Cycle of Choice assumes a tightly connected closed cycle. March and Olsen (1975) believe that when misapplied the model has limitations which become important such as when one or more of the connections are broken, or confounded by factors not included in the model.

Looking at the linkage between individual beliefs and individual actions March and Olsen suggest that if the notion is accepted that time and energy are scarce resources then it is likely that an individual will have to allocate his time and energy among many choice situations. He will not be able to attend to all the possible choice situations simultaneously.

Individuals are likely to allocate their available time and energy to situations with the highest expected return. They do not act in one area because they are acting in another.

According to March and Olsen (1975), because of other claims on attention it would be possible to have individual attitudes and preferences without behavioral implications in a specific choice situation.

Regulations, standard operating procedures, duties and roles often determine behavior in spite of personal attitudes and preferences. This further serves to suggest a loose coupling rather than a tight connection between individual attitudes and individual actions.

2. Individual Action and Organizational Choice

Looking at the linkage between individual actions and organizational choices or outcomes there is an implication that organizational choice is a direct consequence of individual actions. March and Olsen (1975) suggest that the connection between the two is often quite loose. Sometimes the internal decision-making process is not strongly related to the organizational action. Decision outcomes, however, are not the principal concern of individuals in many choice situations. In particular there is often more importance attached to the allocation and acknowledgement of status, collection and earning of goodwill, exchange of information and ideas, and training.

March and Olsen (1975) illustrate this point with two questions:

a) Why do people fight for the right to participate and then not spend much time participating?

The answer is because most of the status is conferred by the right to participate rather than by the actual activity.

b) Why is there a tendency for major policy decisions to lead to no substantive change?

The answer is because the main concern and pleasure are in the symbolic content of the debate and the education rather than in the implementation of the policy.

It seems likely that a common consequence of making decisions through a process in which most participants are worrying about something else will be decisions that are difficult to anticipate.

The preceding discussion has demonstrated for "organized anarchies" how the internal decision-making process is often loosely connected to organizational outcomes or actions.

Sometimes external factors such as economic depression completely change the conditions under which the organization is operating and thereby cause organizational actions independent of any internal decision-making process.

3. Organizational Choice and Environmental Response

The Cycle of Choice assumes a connection between organizational actions and environmental actions. This action by the environment is often viewed as a response to the choices made in the organization. Environmental actions may be better

described in terms of relationships among events, people, and other structures rather than merely as responses to what the organization does. The same organizational action will have different "apparent" responses at different times. Different organizational actions will have the same response.

4. Environmental Response and Individual Beliefs

Looking at the last linkage in the cycle of choice it can be seen that environmental events are observed by an individual. Based on his perceptions of what is happening or has happened he may adjust his beliefs accordingly. This experience will hopefully improve his behavior by providing him feedback.

In "organized anarchies" the learning cycle is complicated by the ambiguity of what environmental "response" actually took place. Ambiguity may be inherent in the actual events, or may be caused by the difficulties participants have in observing them. Extremely complex changes in the environment may overpower our mental capacities, and force us to abstract or simplify what has happened, or why it has happened. Often our interpretations of what has happened rely heavily on the interpretation offered by others. The degree of ambiguity will be very dependent on the efficiency of the communication channels through which we receive these interpretations.

C. DECISION-MAKING IN A RATIONAL SITUATION

This thesis will take a closer look at the Complete Cycle of Choice when applied to a relatively unambiguous situation.

In order to exercise the model's performance in its simplest form the thesis considers a situation where the four major variables are closely coupled. It has long been recognized that in more ambiguous cases the linkages between individual attitudes and individual actions may be complicated by limited information-processing capabilities or formal rules of behavior which may overwhelm an individual's freedom to act completely in accordance with his own beliefs. This idea of "bounded rationality" is further developed by Simon (1957).

This discussion, however, will deal with a situation, namely a voting scenario, where the range of behavioral alternatives is fairly well-defined and where the aggregation of individual behaviors through a simple summation to determine an organizational outcome seems plausible. I will assume short time periods in which behavior is permitted to occur in order to minimize the timing effects of different individual actions on the organizational outcome. I further stipulate that the organizational outcome is directly determined by internal processes and that external factors affect the outcome only indirectly.

The organizational action causes an environmental response which may or may not be perceived by the individuals in the organization. Additionally, whether individual belief systems are constructed through self-assessment of the environment or through the interpretations of others can cause different perceptions of a given environment to develop. In this discussion I will deal with well-defined, unequivocal outcomes that leave little margin for severe distortions to develop.

In order to work with the Complete Cycle of Choice in an appropriate situation the model is applied to an election process. I assume a political election with well-defined voting procedures, a limited number of choices, and general agreement on whether a particular candidate has received the majority of the votes, and thereby won the election.

It is believed that imposition of these conditions qualifies this scenario as a rational decision-making situation in accordance with the definition for such a situation as set forth by Karl Weick (1979, pg. 21).

Although Cohen, March, and Olsen (1972) have described ambiguous situations such as college univeristy administration procedures where the decision-making process is more aptly described by their "Garbage Can Theory," I believe that the number of situations which can adequately be illustrated by the Complete Cycle of Choice warrants further research into the model's characteristics.

D. CYCLE OF CHOICE AS COMPUTER PROGRAM

In order to exercise the learning model under various conditions within the constraints previously discussed a computer program has been developed. The program starts out with some specified initial conditions and then by performing various transformations simulates the processes that occur in the theoretical model. After a specified number of iterations through the cycle several summary statistics are generated. These statistics are collected for later discussion and analysis.

1. Initial Conditions

The program first defines some initial attitudinal, behavioral, and environmental conditions. In order to do this a system of matrices was developed. The technique has been used, although in a somewhat different format by Cohen, March, and Olsen in their studies (1972).

In this research I am applying the Cycle of Choice to a voting situation. For each voter an initial preference is assumed of greater or lesser degree for each of three political alternatives in the campaign. This initial attitude or preference for each of the possible choices is represented in terms of strength numbers. In this discussion the first strength number considered will refer to the Republican alternative, the second strength number refers to the Democratic alternative and the third number to some Other alternative. Each individual's attitude can be represented as a 1 x 3 matrix containing three attitude strength numbers. The matrix (.6, .3, .1) indicates that for a particular individual at a particular point in time he considers alternative one, the Republican alternative to be a 0.6 on a scale of 0.0 to 1.0 when ranked relative to the other two possibilities. He considers the Democratic alternative to be a .3 out of 1.0, and the Other alternative to be a 0.1. Alternate numbering schemes could have been chosen to illustrate these preferences but for simplicity this program uses strength numbers which sum to 1.0 over the range of alternatives.

In order to represent a particular individual's behavior at a particular point in time the program employs a 1 x 3 matrix similar to the one used for his attitude. If an individual's behavior is constrained to only one of three possibilities his voting behavior can be represented as three index numbers. Since this illustration, by design, is concerned with a relatively unambiguous situation an individual's behavioral action is defined by the actual lever he pulls in the voting booth. If he does not pull any lever or votes for something other than Republican or Democrat the simulation will classify these as "Other" behavior. The matrix (0,1,0) for example, indicates that this particular individual voted for the second alternative, in this case, the Democratic one. Notice that in this matrix there is a single index number with a value of 1 and the other two have values of zero. accordance with this model an individual is permitted to act in only one of three possible ways at a particular point in time.

It should be kept in mind that the present discussion is merely describing the techniques employed to represent the major variables in the Complete Cycle of Learning. When this has been completed the discussion will go into detail on the representation of the linkages between the variables.

The next variable to be considered is the organizatinal outcome. Like individual behavior it is represented as a 1 x 3 matrix. Based on the actions taken by the majority of

the voters a particular political alternative becomes the organizational decision. The mechanics of this process are described in a later section on linkages.

The last variable to be represented is the environment mental situation. In order to describe the environment at a particular point in time a 1 x 3 matrix is employed. As in the individual behavioral matrix we represent the environmental response to the voting process by a series of index numbers. In this example it seems reasonable that in a political election there is generally consensus among the participants on how it will be known that a particular outcome was attained or not. If we stipulate that only one of three possible outcomes can result from the process then a matrix of zeroes and a one will adequately describe the situation. The matrix (0,0,1) indicates that at a particular point in time the environmental outcome was generally agreed to be the third alternative. In this case the alternative other than the Republican or Democratic options was attained.

2. Linkage 1: Individual Attitude Formation

In the representation of the learning cycle I state that an individual's attitude in the current time period is a weighted sum of the environmental outcome and his attitudes in past periods. Implicit in the statement is the notion that an individual's current attitude is also dependent upon organizational outcomes and individual behaviors in past periods. That is because these factors serially led from

one to another and ultimately led up to the environmental outcomes and attitudes which are currently being considered.

It may be asked why there is no direct provision for an individual to consider future implications of current activity. Studies by the Social Science Research Council seem to show that consideration of just past behaviors is adequate for predictive purposes. They found that by assuming the probability of an individual voting for a particular party is equal to the frequency with which he has voted for that party in recent past periods they obtained results as accurate as the public opinion polls (Mosteller, 1949).

Alfred Schutz provides an additional reason which helps justify the omission of explicit future consideration in this model.

The actor projects his action as if it were already over and done with and lying in the past. It is a full blown, actualized event, which the actor pictures and assigns to its place in the order of experience given to him at the moment of projection. Strangely enough, therefore, because it is pictured as completed, the planned act bears the temporal character of pastness The fact that it is thus pictured as if it were simultaneously past and future can be taken care of by saying that it is thought of in the future perfect tense. (Schutz, 1967)

Since this research deals with a situation where mechanisms are well-defined and cues are non-ambiguous it is likely that a general consensus of which outcome was attained is possible. This should enable us to use the same environmental outcome matrix as an input in the determination

of each individual's current attitude. As in the real world, the specific values in the environmental matrix can change over time, but for a single period in time we will consider only one value of the matrix as an input to the next iteration of the learning cycle.

In addition to the environment, past attitudes are a factor in determining current attitude. Partisanship in the current period may depend on the strength of attitudes held in earlier periods, or be greatly affected by preferences that have become detached from the current situation over many periods of time.

3. Linkage 2: Individual Behavior Determination

In order to make the transition from individual attitude to individual behavior the following procedure is proposed. An individual's anticipated behavior is assumed a weighted sum of his current attitude and his past behaviors. His current attitude for a given alternative is relevant because its magnitude has significance. McPhee refers to this as voter interest and compares it to the notion of "indifference" curves found in economic theory (McPhee, 1962). If a particular political campaign has great appeal, and thereby results in strength numbers of high value for one or two alternatives, then the voter response level could be high. If the contest is a dull one, the strength numbers for the Republican, and Democratic alternatives might both be low, and the individual response might be not to vote at all. In this

model that would be classified as an "Other" action. In other words the attitudinal matrix indicates the relative ranking of the alternatives as well as giving an indication of whether or not any action is to occur at all.

In addition to the influence of current attitude past behaviors influence the determination of current behaviors.

Besides the statistical significance which the Social Science Research Council found in 1949, the formation of voting habits, or traditions suggest the importance of past behaviors on current behavior. The earlier quotation from Schutz reaffirms the possible role of behavior being considered as if it had already taken place.

The computer program represents the linkage to individual behavior as a probability equation with appropriate likelihoods assigned to each of the different behavioral alternatives. These likelihoods directly incorporate the effects of past behaviors and current attitude.

The specific behavioral action taken by an individual is then probabilistically determined basing the result on these likelihoods.

4. Linkage 3: Determination of Organizational Outcome

There are four broad types of theories used to describe the processes involved in major political decisions. Specifically they are referred to as democratic theories, interest group theories, decision process theories, and legal-bureaucratic theories (Stava, 1971).

In order to keep the situation relatively simple the democratic theory has been assumed. The democratic theory assumes an "electorate" delimited according to specific criteria such as formal position or period of membership in the organization. This electorate decides policy according to certain decision-making procedures. Whenever choices are made the alternative selected and enforced is the alternative most preferred by the electorate. In choosing among alternatives, the one preferred by the greater number is selected. The theory also stipulates that voters are considered basically equal to one another when the votes are counted (Ibid, 1971).

K .

This process is simulated in the computer program by algebraically summing the behaviors of all individuals. The alternative receiving the majority of the votes is defined as the organizational outcome. A 1 x 3 matrix analogous to the behavior matrix is used to represent this outcome.

Since the point of this reserach is to explore the Complete Cycle of Choice in its simple form explicit consideration of critical mass behavior or social interaction effects has been omitted. Inclusion of these factors as refinements to the model is recommended in future studies.

5. Linkage 4: Environmental Response to Organizational Decision

Earlier sections of this thesis have discussed in great detail the particular characteristics of the voting scenario which allow us to say that there will be general consensus among the participants that a particular outcome

has been attained. We consider the environment at this point in the cycle to be an output of organizational action. Because of the close coupling designed into the voting scenario we will mathematically portray the environmental outcome as being equal to the organizational outcome. In other situations this may not be appropriate but for this one there seems to be enough well-defined mechanisms and cues to permit it.

After the environmental outcome has been determined it is used as input to the formulation of new individual attitudes, and the cycle repeats itself.

In order to simultaneously consider the attitudes and behaviors of more than one individual a matrix has been used to store the values of all individuals' attitudes, and a second matrix is used to store everyone's behaviors in a given time period. These matrices were developed to facilitate the processing of several individual's behavioral and attitudinal changes in one single operation rather than computing each individual's spearately. Appendix B provides illustrations of these matrices and several others which streamlined the computations. The organization was arbitrarily defined to consist of fifteen individuals. Other numbers could have served just as well.

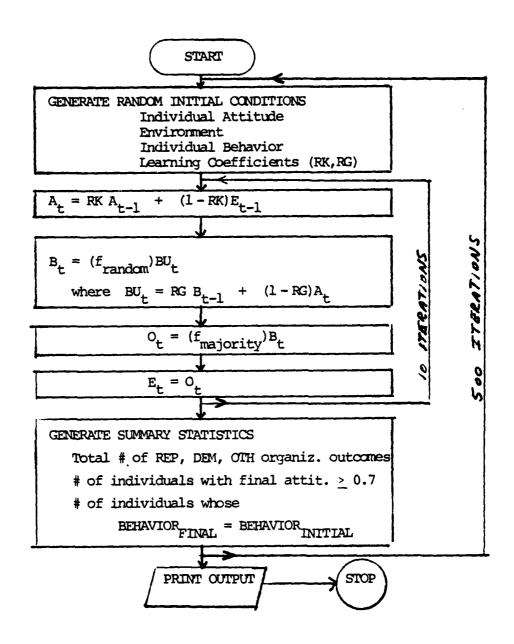


Figure 3.2. FLOWCHART: COMPUTER SIMULATION OF CHOICE CYCLE

Explanation of Symbols and Albreviations Used in Flowchart of Learning Cycle

 A_{\perp} = Individual attitude in period t

BU_ = Anticipated individual behavior in period t

 B_{+} = Actual individual behavior in period t

 E_{+} = Environmental response in period t

 E_{t-1} = Environmental response in period t-1

O₊ = Organizational outcome in period t.

RK = Attitudinal learning coefficient used as weighting factor in equation for determining individual attitude (A_{+}) .

RG = Behavioral learning coefficient used as weighting factor in equation for determining anticipated behavior (BU_+) .

10 Iterations = Number of times the learning cycle is completed using a single set of initial conditions.

500 Iterations = Number of sets of initial conditions that are randomly determined and used as inputs to the learning cycle.

Summary Statistics =

1) counts of the number of organizational outcomes for each of three alternatives.

- 2) counts of the number of individuals out of a total population of 15 individuals whose attitude in the final period is > 0.7.
- 3) counts of the number of individuals out of a total population of 15 individuals whose final behavior equalled their initial behavior.

IV. ANALYSIS OF SIMULATION DATA

A. INITIAL CONDITIONS AND SUMMARY STATISTICS

The computer simulation of the Complete Cycle of Choice employs initial attitudes, initial behaviors, initial environmental outcomes, and learning coefficients as inputs to the model. In order to exercise the model under different combinations of these inputs a Monte Carlo sampling technique has been used to obtain a manageable number of cases that adequately represent the entire population of possible cases. This follows an analytic procedure developed by Cyert and March (1963).

Referring to Figure 3-2 it can be seen that a set of initial conditions is generated at the start of the learning cycle. After an arbitrary number of iterations (in this case 10) a new set of initial conditions is generated for the next series of iterations through the cycle. This research will examine the performance of the model in relation to 500 sets of randomly generated initial conditions.

Figure 3-2 also indicates that after the arbitrary number of iterations through the cycle several summary statistics are generated. The number of Republican organizational outcomes in 10 periods, the number of Democratic organizational outcomes in 10 periods, and the same for the Other Organizational Outcomes are the first three statistics. The next three statistics are counts of the number of individuals in a population

of 15 individuals whose final attitude for the Republican party is greater than or equal to 0.7, the number whose final attitude for the Democratic party is greater than or equal to 0.7, and the number whose final attitude for the Other alternative is grea-er than or equal to 0.7. Preferences above 0.7 will be considered "strong" preferences for discussion purposes (McPhee, 1971, pg. 473). The last statistic to be generated is a count of the number of individuals whose final behavior is equal to their initial behavior.

B. FREQUENCY DISTRIBUTIONS OF THE DATA

A frequency table was obtained for each of the variables employed in the model using the Statistical Package for the Social Sciences (SPSS). The behavioral and attitudinal learning coefficients were distributed evenly among all the different possible values. The initial attitudinal preferences tended to be at the lower end of the scale. The mean value of the initial preferences was .33 for all three political alternatives. Looking at initial individual behavior there appears to be an equal distribution of votes for each of the three parties. The initial environmental outcomes were evenly split amongst the three possible alternatives (see Appendix C for frequency analysis printout).

The results of the frequency analysis of the initial conditions are not surprising since the values were all randomly generated. Initial conditions were not obviously biased towards any one political alternative in particular. For

that reason the values of the summary statistics generated as output of the model are somewhat unexpected. Frequency plots of the number of organizational outcomes show 187 cases where 10 out of 10 organizational outcomes were Republican. The Democratic alternative was chosen by the organization 10 out of 10 times in 111 cases, while the Other alternative was chosen 10 out of 10 times in 102 cases. These figures are interesting in two respects. First it seems to be a characteristic of the model that the organizational outcome attained in the first period is quite often attined in all the other periods as well. This pattern was apparent in 400 out of the 500 cases analyzed. The second trend revealed in the frequency plots was that out of a total of 5000 organizational choices made during the exercise of the model 2,324 were for the Republican alternative while only 1,440 were Democratic, and 1,236 were Other. Similar results were obtained during an earlier exercise of the model with 1000 choices. In that earlier simulation 488 Republican, 291 Democratic, and 221 Other Organizational outcomes were attained.

Considering the frequency analysis alone it appears that for a series of learning trials there seems to be a tendency for a single political alternative to be chosen by the organization in all 10 trials of that series. Additionally, although the initial attitudes tended to be at the lower end of the scale the final attitudes were usually greater than 0.7 for the party chosen 10 out of 10 times.

Another pattern to emerge is for one party to be chosen by the organization a significantly larger number of times than the other two alternatives. Perhaps this is due to some combined effect of several variables which will be revealed in the regression analysis.

C. REGRESSION ANALYSIS OF THE DATA

A regression analysis was performed on all 500 cases of the data generated by the computer simulation of the Complete Cycle of Choice. Using the Statistical Package for the Social Sciences a step-wise regression was used to generate "R squared" values. The initial attitudinal, behavioral, and environmental conditions as well as the learning coefficients were considered the independent variables while the summary statistics were treated as dependent values. A table of correlation coefficients between all of the variables was also included in the analysis.

Since the value of the third index (strength) number in the attitudinal, behavioral, and environmental matrices can be directly determined by knowing the first two numbers they were not included as part of the step-wise regressions. With these index numbers omitted the correlation tables showed very low correlations between the independent variables, which is what is desired.

The stepwise regression on the number of Republican organizational outcomes as predicted by the independent variables yielded the following results:

1. Number of Rep Org. Outcomes (Dependent Variable)

Independent Variables	RSQ	ΔRSQ
Init. Environment (Rep. Index)	.197	.197
Init. Individ. Behav. (Rep. Index)	.362	.164
Init. Attitude (Rep. Index)	.396	.034
Behav. Learning Coeff. (RG)	.410	.014
Attitud. Learning Coeff. (RK)	.415	.005

Similarly the Democratic alternative was predicted as follows:

2. Number of Democr. Org. Outcomes (Dependent Variable)

Independent Variables	RSQ	∆RSQ
<pre>Init. Individ. Behav. (Dem. Index)</pre>	.262	.262
<pre>Init. Environment (Dem. Index)</pre>	.385	.123
Init. Attitude (Dem. Index)	.421	.036
Behav. Learning Coeff. (RG)	.431	.010
Init. Environment (Rep. Index)	.436	.005

The independent variables account for approximately 42% of the variance in the dependent variable which is statistically significant for a sample of 500 cases.

At this point it is interesting to note that the ranking of independent variables in the step-wise regression of the number of individuals with strong Republican preferences in the final period, and the step-wise regression of the number of individuals with strong Democratic preferences in the final period was the same as for the rankings just presented (see Appendix D).

These numbers indicate that the number of organizational outcomes for a particular party, as well as strong preferences for that same party are much more sensitive to initial environmental conditions and initial voting behavior than to initial attitudes or learning coefficients. The close correlation between strong final attitudes for a particular alternative and the number of organizational outcomes for that alternative turns out to be exactly .95 as shown in the correlation table in Appendix D. The relatively low correlation between organizational behavior and initial attitudes is shown by the same correlation tables to be .01.

Tying these results to the earlier discussion on the propensity for one party to be chosen in all 10 iterations it seems reasonable to suppose that people in a voting situation are most sensitive to the initial environmental outcome and their own initial behavior. They tend to base their actions in the next period on the same conditions, and so on through the series. This leads to a uniform organizational decision pattern with the party first chosen by the organization, being chosen in every other period. Since the organizational outcome is exactly equal to the environmental outcome the constant exposure to this influential variable causes strong preferences for that particular outcome to develop.

This research has only considered the simple case where the initial attitudes of the individuals are identical. In order to pusue the analysis further a non-homogeneous group is proposed for future research. By using different initial attitudes for each of the individuals in the population it would be possible to better understand the attitudinal changes over a series of elections.

Another interesting variation might be to assign weighting factors to the behaviors of certain individuals in the population. Those with relatively high weights could be thought of as leaders or high-influence groups.

The regression analysis on the number of individuals whose final behavior equalled their initial behavior showed this statistic to be most sensitive to initial Republican behavior. This was unexepected since the initial behaviors were evenly split amongst the 3 alternatives. Further analysis is needed to explain this surprising result.

V. CONCLUSION

The preceding two chapters have developed and analyzed a computer simulation of a basic decision-making model. In particular a voting scenario was the vehicle used to examine the performance of the Complete Cycle of Choice. Three alternatives were considered by the individuals in the discussion, and then each voter made a specific decision. These individual actions were aggregated into a collective behavior, and then the environmental "response" was determined.

This research dealt with a simple decision-making situation in order to keep the model simple. More complex situations would necessitate the formulation of more complex, less obvious models. Many of the models used by corporations and government agencies to formulate strategies or make long-range decisions are implicitly based on the Complete Cycle of Choice. A review of decision models used for top management planning revealed that underlying the detailed complexities of the specific models were the basic information flows and processes of the Cycle of Choice (Ozbekan 1965, Jantsch 1969, Steiner 1969).

Recalling the Navy manpower problem discussed earlier in the thesis there seems to be a similarity between the decision processes involved in choosing to stay in the service, choosing to get out, or choosing some other alternative (e.g., delaying the choice) and the voting scenario described

in the simulation. The manpower problem is obviously more complex than the closely connected, well-defined situation analyzed in this thesis. The Complete Cycle of Choice however, appears to be at least a first approximation to the Navy situation. Using the simulations presented in this thesis as a core, it is possible to elaborate, expand, and improve it until it provides a reasonable representation of the actual situation.

The first step towards this end is for manpower strategists to study the operating realities of their system, and to determine the objectives of the simulation. Once the model becomes purposeful, data should be collected and used to determine relationships between the variables. These relationships become the basis for the computer program. The development of the simulation is an iterative process with adjustments made until it provides useful information.

As stated earlier in this section the model presented in this computer simulation is only a first estimate of the Navy manpower situation. One suggested improvement is the consideration of social interraction effects as described in the second chapter of this thesis. The inclusion of critical mass behavior is only a second step towards the development of a useful model but if enough steps are taken the goal will be attained.

Another improvement might be to consider future behaviors and their present implications in the decision process. This

thesis found behavioral habits for organizations to develop which we based heavily on the first decision made in a series of decisions. Manpower strategists might want to consider the importance of this in making sure that initial behaviors, especially during the first enlistment term, are favorable to the needs of the Services. The research by Schelling builds heavily on this concept (1978). His discussion of the "dying seminar" yields the same behavior patterns as were obtained in the frequency analysis of the computer simulation. The analysis also found strong preferences to develop based on continued exposure to a particular environment. If manpower strategists find it impossible to develop a "nationwide" environment conducive to the recruiting situation they might want to consider the creation of "local" environments which will encourage a pro-military service attitude to be developed.

In closing it can be said that this research has at least laid out the basic groundwork for future research into one class of manpower models. In choosing the voting scenario I was more interested in the long-term process of political socialization, rather than the prediction of the outcome of a particular election. Successive elections are like successive learning trials. The analysis did not consider single trials but rather the cumulative effects over a longer period of time. Perhaps future researchers will benefit from the discussion presented, and be able to incorporate some of the ideas presented into further improvement of the decision-making model.

APPENDIX A: SIMULATION PROGRAM

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SAMPLE OF SIMULATION MODEL

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6.9 0.3

APPENDIX B: SAMPLE OF INTERNAL PROCESSES WITHIN SIMULATION MODEL

THIS PAGE AC SUBERQUILLITY PRACTICABLE PROPERTY TO DDC

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CINVEPTED ENVIR. DUTCOME MATRIX
1.00 0.0 0.0 1.00 0.0 0.0 1.00 0.0 0.0
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ENVERCAMENTAL CUTCOME MAIR IX

ORGANIZATIONAL UETCOME MATRIX

STANDA ROIZEO REHAV. AFTER IRNG. MATRIX
1.00 0.0 C.U 1.00 0.0 C.U 1.00 C.U 0.0
1.00 C.C U.O. 1.00 0.0 0.0 1.00 C.O 0.0
1.00 C.C C.C L.CC 0.0 C.O 1.00 0.0
1.00 0.0 0.0 1.00 0.0 C.C 1.00 0.0

LNSTANDA ROIZED BEHAY. AFTER LEARNING MATRIX
0.92 0.04 C.C4 C.52 0.04 C.04 0.92 C.04 0.04
C.92 0.04 0.74 0.92 0.04 0.04 0.92 0.04 0.04
C.92 0.04 0.04 0.04 0.04 0.04 0.04
C.92 0.04 0.04 0.92 0.04 0.04 0.92 0.04 0.04
C.52 0.64 0.04 0.92 0.04 0.04 0.92 0.04 0.04
C.52 C.64 C.64 0.92 0.04 0.04 0.04 0.04

PRC TIMES ERVIRON. MATRIX
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A 111 TLCE AFTER LEARNING MATRIX

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O.AR J.J6 O.J6 J.88 O.O6 O.O6 O.88 G.O6 O.O6

C.EE C.G6 C.J6 O.B8 O.J6 J.36 J.36 J.06 O.O6

O.B8 J.O6 C.CE G.88 O.C6 C.J6 J.88 C.O6 O.O6

O.B8 J.O6 C.CE G.88 O.C6 C.J6 J.88 J.06 O.O6

C.S8 J.J6 O.J6 J.38 J.06 O.O6 J.88 J.06 O.O6

1.30 0.0 1.30 0.0 1.30 0.0 1.60 0.0	ENVIR. 0.0 0.0 0.0	1.00 0.0 1.00 0.0 1.00 0.0 1.00 0.0	0.0 0.0 0.0 0.0	1.00 1.00 1.00 1.00	0.00	0.0

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FRO TIMES ENVIRON. MATRIX
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6.70 G.0 0.0 0.70 0.0 0.70 0.0 0.70 0.0
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A 11 TLDE AFTER LEARNI No PATEIX
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0.96 0.02 0.02 0.96 0.02 0.92 0.96 0.02 0.02
0.96 0.02 0.02 0.96 0.02 0.02 0.96 0.02 0.02
0.98 0.02 0.02 0.56 0.02 0.96 0.02 0.02
0.98 0.02 0.02 0.02 0.02 0.02 0.96 0.02 0.02

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RKC TIRES ENVIFCE. MATRIX
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CRGANIZATIENAL CUTCOME MATRIX

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CCAVERIED EARLE. CUTCOPE MATRIX

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1.00 C.C 0.3 1.30 0.0 7.3 1.00 0.3 0.0

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STANDAFOLIED EEMAV. AFTER LRYG. MATRIX
1.0C C.C C.C L.CC 0.C C.O 1.00 0.0
1.03 0.0 0.0 1.00 0.C C.O 1.0C C.O 0.0
1.03 0.C 0.0 1.00 0.0 1.00 0.0
1.03 0.C 0.0 1.00 0.0 1.00 0.0
1.00 C.C C.C 1.CO C.C C.O 1.00 0.0
1.00 0.0 0.0 1.00 0.0 0.0 1.00 0.0

CREANIZATIONAL CUTCOPE PATRIX

ENVIRONMENTAL DUTCHME MATRIX

FRC 11MES ENVIRON- PATRIX
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1.00 0.00 l.00 l.00 0.00 0.00 0.00 ENVIRON PENTAL CUT COME MATRIX CONVERTED ENVIR. CUTCOPE PATRIX
1.00 0.0 G.G 1.00 U.C C.O 1.00 C.O 0.0
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APPENDIX C: FREQUENCY ANALYSIS OF SIMULATION DATA

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12/12/79 FTL*	- NONAHE	- CPEATER	12/12/79			
PK ATTIT LENG CO	FFF					
CATEGORY LABEL	CCCE	185CF0	FFF (PC1)	40JUSTC0 (800g)	645C (9C1)	
	. 0 a.	57	11.4	11.4	11.4	
	./ c.	47	5.4	9.4	27.8	
	.a c.	42	8.4	9.4	29.2	
	<i>.3</i> c.	41	9.2	8 - 2	27.4	
	.4 :-	44	٥.٩	3.8	41.2	
	. 5 1.	49	5. €	9.0	57.0	
	·6 i.	53	10.6	10.5	67.E	
	.7 1.	55	11.0	11.1	78.€	
	.g 1.	5.0	13.7	10.0.	88.6	
	.9 !-	57	1.4	11.4	100.0	
	TOTAL	530	133.3	111.1		

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C	CAGLFAG	ANALYS 15	CAGLENG ANALYSIS OF SIPULATION CATA	414			PACE
2	51/21/21		FILS - NENAPO	- CBCFFFB 17/12/79	17/12/79		
O	9	PFF4V L	PSHAV LFAG CFEFF				
(3	CATFGORY LABFL	LABFL	ננפו	Des de l'OLE	671 ATTVP F610 (FCT)	Sryustre Pring (PCT)	100 P
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(.,	۲,	1C.C	10.0	39.0
9			.3 o.	45). 5	9.0	3.5€
(·) *	£9	12.4	12.6	52.5
ଚ			٠٠ .	3,	16.8	13.8	1.8
(.6 1.	7,	4.4	6.4	71.4
D			.7 1.	4ن	F. C	6.8	79.4
•			.8 1.	55	7.41	11.	20.4
D			÷:	24,	7.5	9.6	130.0
4			167.01	5).6	100	1.001	

CRGLENG	ANALYS IS	CRGLENG ANALYSIS OF STRULATION EFF	6773			PAGE
31/21/21		FILT - NUNAPE	- CBS/TSD 12/12/19	52/21/21		
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		.0 .	99	13.6	13.6	3.75
		.2 5.	er.	16.0	16.0	43.€
		,۶ د.	*	13.5	13.2	57.0
			29	12.4	12.4	4.63
		٠١ ک	4	ĵ.	8.1	17.4
		%	37	1.4	7.4	£4.E
		.7 1.	3.6	7.5	7.2	92°C
		·	5.E	ب الا	5.0	57.0
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r.	ATDE	INITIAL CIP ATT	بر ان ان	11						
						TEST UT	1001 1001 1001 1001	Ar Justric 67.73 (PCT)	F 8:47 PCT)	
	CATEGORY LABEL	LABEL		•	֝֞֓֓֓֓֓֓֓֓֓֓֓֓֓֓֓֓֓֓֓֓֓֓֓֓֓֓֓֓֓֓֓֓֓֓֓֓		16.2	16.7	16.2	
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CRGL RNG 12/12/75	ANALYSIS		CRGLRIG ARALYSIS CF SIRLLATION EATA	CF SIALLETTEN EXIV FILL - NEMARY - CFFFFF 12/12/19	12/12/15		PAGE 39	
EFFCEM	1:1714	ų.	REFERENT TRITTEL MEN INCENTION					
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		<i>;</i> :	335	67.0	67.)	1.63	
		1.	570	3.008	33.1	100.0	
CPGL FAG	APALYS 15	ARALYSIS FF STRULATION CATA	ATA			PAGE	4
12/12/35		FILE - NONAPE	- CP=4TGD 12/12/79	12/12/19			
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